

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
ATTORNEY
VACANCY ANNOUNCEMENT**

Announcement No. 04-256-FB Opening Date: September 14, 2004 Closing Date: September 27, 2004

POSITION TITLE Attorney-Adviser (Securities Industry) (This is a bargaining unit position.)	PAY PLAN, SERIES, GRADE SK-905-16 (\$123,982 to \$158,844 per annum)
PROMOTION POTENTIAL SK-16	EXCEPTED CIVIL SERVICE
NUMBER OF VACANCIES One	WORK SCHEDULE Full-time
AREA OF CONSIDERATION All Sources	ORGANIZATION AND DUTY STATION Office of Compliance Inspections and Examinations Office of the Director Washington, DC

***This vacancy will** also be filled under ESHA-04-155-FB which is being advertised as a Staff Accountant (SI), SK-0510-16

FOR ADDITIONAL VACANCIES THAT MAY BE AVAILABLE VISIT: www.sec.gov/jobs.shtml

SUMMARY OF DUTIES: Incumbent serves as a Senior Special Counsel to the Director in the area of broker dealers, trading, markets, and self-regulation. The incumbent identifies potential Examination Program issues within the field of broker dealers and develops strategies for pursuing those issues. Monitors SEC and regulatory developments with the broker dealer program area. Reviews and analyzes information gathered from within and outside the SEC to determine whether events are isolated actions or part of a greater trend meriting further examination or investigation. Interacts formally via written and oral reports with senior management throughout OCIE and the Regional/District Offices regarding examination opportunities and in order to gather information. Directs and conducts special projects that may involve novel or unexplored questions of law or policy or fact regarding the securities industry and, specifically, the area regarding broker dealers. Prepares reports justifying the need for targeted examinations of potential problem areas.

QUALIFICATIONS REQUIRED: (All candidates must meet the minimum requirements as summarized below.)

Candidates must have one full-year (52 weeks) time-in grade at the GS/SK-14 or GS/SK-15 grade level as an attorney in the Federal sector. Private sector candidates must have a minimum of four years practicing experience as an attorney. All candidates must have experience that demonstrates at least one full year of experience demonstrating a thorough knowledge of the statutes administered by the Commission as well as the Commission's rules, regulations and overall policies and the operation of the securities markets (significant experience with at least two of the following four items) listed under the mandatory selective factor below. All candidates must be active members of the Bar. SK-16 candidates may apply for competitive reassignment.

SEC Supervisors at the SK-15 grade level will not receive a 6% increase in salary upon movement to the SK-16 grade level.

Candidates must show in the application date J.D. received from an accredited law school and state or the District of Columbia of active bar membership.

MANDATORY SELECTIVE FACTOR:

The mandatory selective factor is essential to perform the duties of this position. Please include a narrative that clearly addresses how you meet this mandatory selective factor. If your application does not clearly show you meet the mandatory selective factor, you will not receive further consideration for the position.

See next page for additional information

Incumbent must have significant experience with at least two of the following four items, all specifically related to securities issues affecting Broker Dealer examinations only: 1) advising management to ensure the firm's compliance with the Commission's regulations and statutes; 2) directing the examination/investigation of potential violations of Federal securities statutes or regulations; 3) drafting state/Federal legislation regarding actions of Broker Dealers within the securities markets; or 4) teaching Federal securities law courses as a fully credited professor at an accredited four-year college or university or other accredited graduate school.

QUALITY RANKING FACTORS: Candidates who meet the qualifications requirements will be evaluated against the following Quality Ranking Factors to determine the best qualified.

1. Demonstrated ability to exercise mature judgment and make technical decisions or recommendations on controversial and difficult problems affecting the plans and progress of the Office, as well as the Commission.
2. Demonstrated ability to write clear, concise, and technically accurate Commission work products in a timely manner while following prescribed office format.
3. Demonstrated knowledge of Federal securities laws, rules and regulations administered by the Commission.
4. Demonstrated knowledge in analyzing complex legal or factual issues.
5. Ability to plan, conduct and report on examinations of regulated entities.

HOW TO APPLY

Candidates MUST submit: a résumé; **or** the Optional Application for Federal Employment; **or** any other written format of choice which clearly identifies; 1) the announcement number, title and grade of the job for which you are applying; 2) bar membership; and 3) beginning and ending dates of employment (months and year), hours per week worked and salary. Please be sure to include your most recent performance appraisal if you are a current SEC or Federal employee and a copy of an SF-50, Notification of Personnel Action, to verify your grade and step. Your last promotion or within grade increase is best. You should also submit a separate sheet that clearly addresses the specific Quality Ranking Factors.

If you do not provide all the information requested, you may lose consideration for this position. None of these forms will be subsequently lent or returned to applicants.

FILING APPLICATIONS

Applications must be post marked and mailed to the U.S. Securities and Exchange Commission, Office of Human Resources, 6432 General Green Way, Alexandria, VA 22312, no later than the vacancy announcement closing date. Hand delivered applications from within headquarters must be personally accepted and date stamped in the OHR, Mini Personnel Office, Room 1120, Mail Stop 1-3 on or before the vacancy announcement closing date.

Applications received in postage-paid Government envelopes will not be considered and are a violation of Federal law and regulation. In accordance with agency policy, SEC will only accept FAX applications if there is evidence that they were not sent from Federal Government FAX machines. Applicants are reminded of legal prohibition against the use of Government envelopes or other property for other than officially approved activities. These prohibitions are contained in 5U.S.C. 735.205, 39 U.S.C. and 18 U.S.C.

WHERE TO FILE

U. S. Securities and Exchange Commission
Office of Human Resources
ATTN: DeLisia Carpenter
6432 General Green Way, Mail Stop 0-1
Alexandria, VA 22312
FAX NUMBER: 703-914-0556

ADDITIONAL INFORMATION

Candidates must meet all eligibility requirements by the closing date of the vacancy announcement.

Moving expenses___ will X will not be paid.

EQUAL EMPLOYMENT OPPORTUNITY: All candidates will be considered without regard to any non-merit reason such as race, color, sex, age, religion, sexual orientation, national origin, or disability status.

SEC provides reasonable accommodations to applicants with disabilities. If you need a reasonable accommodation for any part of the application and hiring process, please notify the agency's Disabilities Coordinator, OHRAS. The decision on granting reasonable accommodations will be on a case-by-case basis.

Security Clearance: The individual selected may be subject to a security investigation. Favorable results on a Background Investigation may be a condition of employment or selection to another position.